Extract from the UGC Act, 1956

THE

UNIVERSITY GRANTS COMMISSON ACT, 1956

(As modified up to the 20th December, 1985

AND

RULES & REGULATIONS UNDER THE ACT



UNIVERSITY GRANTS COMMISSION NEW DELHI

(Chapter I - Preliminary)

- (f) "University" means a University established or incorporated by or under a Central Act, a Provincial Act or a State Act, and includes any such institution as may, in consultation with the University concerned, be recoginsed by the Commission in accordance with the regulations made in this behalf under this Act.
- The Central Government may, on the advice of the 3. Commission, declare by notification in the Official Gazette, that any institution for higher education, other than a University, shall be deemed to be a University for the purposes of this Act, and on such a declaration being made, all the provisions of this Act shall apply to such institution as if it were a University within the meaning of clause (f) of section 2.

CHAPTER II

ESTABLISHMENT OF THE COMMISSION

- (1) With effect from such date as the Central 4. Government may, by notification in the Official Gazette, appoint, there shall be established a Commission by the name of the University Grants Commission. (2) The said Commission shall be a body corporate having perpetual succession and a common seal, and shall by the said name sue and be sued. ¹[5. (1) The Commission shall consist of -(i) a Chairman, (ii) a Vice-Chairman, and (iii) ten other members, to be appointed by the Central Government. (2) The Chairman shall be chosen from among persons who are not officers of the Central Government or of any State Government. (3) Of the other members referred to in clause (iii) of sub-section (1) -
 - (a) two shall be chosen from among the officers of the Central Government, to represent that Government:

Application of Act to institutions for higher studies other than Universities

Establishment of the Commission

Composition of the Commission



(TO BE PUBLISHED IN THE GAZETTE OF INDIA PART-I SECTION-1)

No.F.9-12/2001- U.3 Government of India Ministry of Human Resource Development Department of Secondary & Higher Education * * *

Symbiosis 618 Inverd No. 16 Slow

Signatu

Shastri Bhawan, New Delhi. 6th May, 2002.

NOTIFICATION

In exercise of the powers conferred by Section 3 of the University Grants Commission Act, 1956 (3 of 1956), the Central Government, on the advice of the University Grants Commission, hereby declares the SYMBIOSIS International Educational Centre, Pune comprising of the following three institutions as Deemed to be University for the purpose of the aforesaid Act with immediate effect :-

- SYMBIOSIS Institute of Computer Studies and Research,
- (ii) SYMBIOSIS Institute of Business Management,
- (iii) SYMBIOSIS Society's Law College

(S. P. Gaur)

Joint Secretary to the Government of India

The Manager, Government of India Press, Faridabad (Haryana).

1.

2.1

Copy forwarded for information to: -

The Secretary, University Grants Commission, New Delhi. President, SYMBIOSIS International Educational Centre, Pune – 411004.

"The grant of Deemed to be University status to SYMBIOSIS International Educational Centre, Pune is subject to the condition that (i) the Institute will take immediate steps to get accreditation from NAAC/NBA and for the appointment of qualified core faculty as per UGC/AICTE guidelines and (ii) it will adhere to the guidelines / instructions issued by UGC from time to time as applicable to the Deemed Universities".

- Principal Secretary, Department of Technical & Higher Education, Government of Maharashtra, Mantralaya, Mumbai 400032.
- Member Secretary, AICTE, I G Sports Complex, I P Estate, New Delhi 110002.
- All Ministries/Departments of the Government of India.
- All State Governments and Union Territories.
- 7. Registrars of all Universities & Deemed Universities.
- Press Information Bureau, Shastri Bhawan, New Delhi.
- The Secretary-General, Association of Indian Universities, AIU House, 16 Kotla Marg, New Delhi 110002.
- 10. All officers/sections in Department of Secondary & Higher Education.
- 11. Guard file/Coordination Assistant/Notification file.

K. Chaturvedi)

Under Secretary to the Government of India

रजिस्ट्री सं० डी० एल०-33004/99

REGD. NO. D. L.-33004/99



असाधारण

EXTRAORDINARY

भाग III—खण्ड 4 PART III—Section 4

प्राधिकार से प्रकाशित

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No.	72]	NEW DELHI, WEDNESDAY, FEBRUARY 20, 2019/PHALGUNA 1, 1940

विश्वविद्यालय अनुदान आयोग

अधिसूचना

नई दिल्ली, 20 फरवरी, 2019

विश्वविद्यालय अनुदान आयोग (सम विश्वविद्यालय संस्थान) विनियम, 2019

प्रस्तावना

मि. सं. 1–2/2018 (सी.पी.पी.–1/डी.यू) — सिद्ध शैक्षणिक गुणों वाले संस्थानों को सम विश्वविद्यालयों के रूप में घोषित करने की प्रक्रिया को एक सुव्यवस्थित पद्धति से विनियमित करने, और आगे विश्वविद्यालय के मानकों के अनुरूप सम विश्वविद्यालय संस्थानों द्वारा प्रदान की गई उच्चतर शिक्षा की गुणवत्ता को बनाए रखने के लिए विश्वविद्यालय अनुदान आयोग, विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 की धारा 26 की उपधारा (i) की खंड (च) और (छ) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए निम्नवत विनियम बनाता है, नामतः

1.0 लघु शीर्षक, अनुप्रयोग तथा प्रारंभ

1.1 इन विनियमों को विश्वविद्यालय अनुदान आयोग (सम विश्वविद्यालय संस्थान) विनियम, २०१९ कहा जाएगा।

12 ये विनियम ऐसे प्रत्येक संस्थान पर लागू होंगे जो स्वयं को सम विश्वविद्यालय संस्थान घोषित करवाना चाहते हैं, यद्यपि, उत्तरव्यापी प्रभाव से ऐसे संस्थान पर भी लागू होंगे जिसे विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 की धारा 3 के तहत सम विश्वविद्यालय संस्थान के रूप में घोषित किया गया है।

- 1.3 ये विनियम आधिकारिक राजपत्र में प्रकाशन की तिथि से प्रभावी होंगे।
- 1.4 ये विनियम विश्वविद्यालय अनुदान आयोग (सम विश्वविद्यालय संस्थान) विनियम, 2016 को प्रतिस्थापित करेंगे।

2.0 परिभाषाएं

इन विनियमों में, जब तक कि संदर्भ में अन्यथा अपेक्षित न होः

2.01 "अधिनियम" से विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 (1956 का अधिनियम 3) अभिप्रेत है,

2.02 "कैम्पस अथवा परिसर" से अभिप्राय ऐसे सम विश्वविद्यालय संस्थान के मुख्यालय स्थित परिसर से है, जिसमें संकाय, कर्मचारी वृंद, छात्र, तथा इसके शैक्षणिक विभाग जैसी इसकी मुख्य सुविधाएं भारत के किसी शहर / कस्बे / ग्राम में अवस्थित होंगी और इसमें मुख्यालय में उसी क्षेत्र के क्षेत्राधिकार के अधीन स्थित सभी परिसर शामिल हैं।

15.0 **DISTANCE EDUCATION**

Institution Deemed to be Universities may offer courses in the Open, Distance Learning / On Line mode in accordance with the UGC (Open and Distance Learning) Regulations, 2017, and UGC (Online Courses or Programs) Regulations 2018 as amended from time to time.

Provided that Institution Deemed to be University, which are already offering programmes of study, with the approval of the Commission, through the Open and Distance Learning mode, shall be permitted to continue such programmes subject to further approval by the Commission.

16.0 POWER TO CONDUCT ENQUIRY AND CONSEQUENCES OFVIOLATION OF

REGULATIONS

- 16.01 Where the Government or the Commission, as the case may be, receives information by way of a complaint or otherwise, that an Institution Deemed to be University has violated any of the provisions of these Regulations, it shall cause to conduct an enquiry into the facts of such information after issuing a notice to the said effect to the Institution Deemed to be University.
- 16.02 The Commission may also cause an inspection, to be made by persons of eminence and integrity; being experts in matters of academics, administration and finance; regarding academic outcomes or any matter connected with the administration or finances of the Institution Deemed to be University for the purpose of the enquiry.
- 16.03 Based on the enquiry or through regular inspections mentioned in these Regulations, if the Government or the Commission, as the case may be, is satisfied that these Regulations have been violated, the Institution Deemed to be University shall be subjected to such action as provided in these Regulations, after a reasonable opportunity has been given to the institution to present its case.
- 16.04 Where an Institution Deemed to be University is found to have violated the provision(s) of these Regulations, it may be subjected to one or more of the following action(s) :
 - A. Violations leading to issuance of unapproved degrees:
 - i. Punishment under Section 24 of the University Grants Commission, 1956.
 - Barring from any expansion in terms of diversification to new Courses / Programmes of study / Department(s) and Off-Campus(es) / Constituent Institution(s) / Off-Shore Campuses, for a limited period.
 - iii. Reducing the intake capacity in student enrolment in a specific course(s) or in all courses, for a limited period or in perpetuity.
 - iv. Barring from admission of students for a limited period or in perpetuity in a specific course(s) or all courses.
 - v. Closure of the Courses / Programmes of study / Department(s) and Off-Campus(es) / Constituent Institution(s) / Off-Shore Campuses.
 - vi. Withdrawal of the notification of declaration as Institution Deemed to be University.
 - B. Violations leading to non-adherence of the Regulations:
 - i. Where any deficiencies in complying with the provisions of these Regulations come to the notice of the Commission, it shall issue a warning in that regard to the Institution Deemed to be Universityrequiring rectification within a reasonable time; and, failure to take remedial action within the said period shall attract punishments provided under these Regulations.

Provided that any punishment awarded to the institution Deemed to be university shall be without detriment to the interests of the students.

- ii. Vice-Chancellor of the Institution Deemed to be University may be removed as per the procedure laid down in relevant Clause of these Regulations.
- iii. Repeated violations of the provisions of these regulations shall attract action(s) mentioned in Clause 16.05A, herein above.

45.0 DISTANCE EDUCATION, EDUCATION IN ONLINE MODE AND MOOC LEARNING

45.1 The University may offer Programmes in the Open, Distance Learning in accordance with the UGC (Open and Distance Learning) Regulations, 2017 and University Grants Commission [Categorisation of Universities (only) for Grant of Graded Autonomy] Regulations, 2018, as amended from time to time.

45.2 The University may offer Programmes in the Online mode in accordance with the UGC (Online Courses or Programs) Regulations 2018 and University Grants Commission [Categorisation of Universities (only) for Grant of Graded Autonomy] Regulations, 2018, as amended from time to time.

45.3 The University may offer Courses/ Subjects in the Online mode in accordance with the UGC (Credit Framework for Online Learning Courses through SWAYAM) Regulations, 2016 as amended from time to time.

46.0 POWER TO CONDUCT ENQUIRY AND CONSEQUENCES OF VIOLATION OF REGULATIONS

46.1 Where the Government or the Commission, as the case may be, receives information by way of a complaint or otherwise, that the University has violated any of the provisions of the Regulations, it shall cause to conduct an enquiry into the facts of such information after issuing a notice to the said effect to the University.

46.2 The Commission may also cause an inspection, to be made by persons of eminence and integrity; being experts in matters of academics, administration and finance; regarding academic outcomes or any matter connected with the administration or finances of the University for the purpose of the enquiry.

46.3 Based on the enquiry or through regular inspections mentioned in the Regulations, if the Government or the Commission, as the case may be, is satisfied that the Regulations have been violated, the University shall be subjected to such action as provided in the Regulations, after a reasonable opportunity has been given to the institution to present its case.

46.4 Where the University is found to have violated the provision(s) of the Regulations, it may be subjected to one or more of the following action(s):

i) Violations leading to issuance of unapproved degrees:

a) Punishment under Section 24 of the University Grants Commission Act, 1956;

b) barring from any expansion in terms of diversification to new Course(s)/ Programme(s) of study/ Department(s) and Off-Campus(es)/ Constituent Institution(s)/ Off-Shore Campuses, for a limited period;

c) reducing the intake capacity in student enrolment in a specific programme(s) or in all courses, for a limited period or in perpetuity;

Memorandum of Association (MoA) of Symbiosis International [Deemed University], 2019	Page 33 of 49
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॥वसुधेय कुटुम्बकम्॥ SIU

REGD. NO. D. L.-33004/99

रजिस्ट्री सं० डी० एल०-33004/99



असाधारण

EXTRAORDINARY

भाग III—खण्ड 4

PART III—Section 4

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मानव संसाधन विकास मंत्रालय

(विश्वविद्यालय अनुदान आयोग)

अधिसूचना

नई दिल्ली, 12 फरवरी, 2018

विश्वविद्यालय अनुदान आयोग [ग्रेडेड स्वायत्तता प्रदान करने के लिए विश्वविद्यालयों (केवल) का श्रेणीकरण] विनियम, 2018

फा. सं. 1−8/2017(सी.पी.पी.–II).—निम्नलिखित को सर्व साधारण की जानकारी लिए प्रकाशित किया जाता है:—

भूमिका

जबकि विश्वविद्यालय अनुदान आयोग (वि.अ.आ.) उच्चतर शिक्षा संस्थानों (एच.ई.आई.) में उच्चतर शिक्षा के मानकों का निर्धारण एवं संवर्धन करने तथा बनाये रखने के लिए अधिदेशित है।

जबकि विश्वविद्यालय अनुदान आयोग ऐसे परिवेश निर्माण करने की आवश्यकता को मान्यता प्रदान करता है जिसके द्वारा उच्चतर शिक्षा संस्थान वैश्विक उत्कृष्टता के संस्थान बन सकें।

जबकि विश्वविद्यालय अनुदान आयोग इस बात को मान्यता प्रदान करता है कि स्वायत्तता उच्चतर शिक्षा में संस्थागत उत्कृष्टता तथा संवर्धन के लिए अत्यावश्यक है तथा विनियामक संगठन को उच्चतर शिक्षा में उत्कृष्टता की दिशा में बेहतर कार्य निष्पादन कर रहे संस्थानों को सुविधा प्रदान करने की आवश्यकता है।

इसलिए, विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 की धारा 12 के खण्ड (ञ) के साथ पठित धारा 26 की उपधारा (1) के खण्ड (छ) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए विश्वविद्यालय अनुदान आयोग एतद्द्वारा निम्नलिखित विनियम बनाता है :--

1. <u>लघु शीर्षक, अनुप्रयोग एवं प्रवर्तन</u>

 इन विनियमों को विश्वविद्यालय अनुदान आयोग (ग्रेडेड स्वायत्तता प्रदान करने के लिए विश्वविद्यालयों (केवल) का श्रेणीकरण) विनियम, 2018 कहा जायेगा।

830 GI/2018

- 4.10 Universities may offer courses in the Open and Distance Learning mode, without approval of the Commission, provided it satisfies all the conditions laid down under UGC (Open and Distance Learning) Regulations, 2017 and amendments from time to time.
- 4.11 Universities shall be exempted from annual monitoring of their off-campus centre(s) and / or the study centre(s), as stipulated under Section 3.3 of the UGC (Establishment of and Maintenance of Standards in Private Universities) Regulations, 2003 as amended /modified from time to time, except when there is substantive evidence of their not meeting basic minimum criteria or of irregularities or malpractices.
- 4.12 In case there is any external review required by the Commission under any statute or executive order, then it would be sufficient that the institution sends a report to the Commission in a prescribed review format.

5. Dimensions of Autonomy for Category-II Universities

5.1 Universities may start a new course/programme department/school/centre in disciplines that form a part of its existing academic framework without approval of the UGC, provided no demand for fund is made from the government on account of starting the new course/programme/department/school/ centre. Degree programs shall be consistent with the approved nomenclature of the UGC. Diploma and certificate courses approved by its Statutory Authorities or Statutory Regulatory Authorities wherever required, may be started in new and innovative areas that are relevant to local, national or international needs, with information to the UGC :

Provided that for Government owned Deemed to be Universities, approval shall be taken from the Government if funding is sought from the Government for starting a new course/programme/ department/school in self-financing mode.

- 5.2 While according permission of starting off campus centers by Institutions Deemed to be Universities, no inspection of the Commission shall be required. This concession shall be subjected to opening two off-campus centres in every five years and as stipulated in the UGC (Deemed to be Universities) Regulations, 2016 and amendments, if any, from time to time.
- 5.3 Universities may start skill courses, consistent with the National Skills Qualification Framework, without approval of the UGC, provided no demand for fund is made from the government on account of starting the new courses. Degree programmes shall be consistent with the approved nomenclature of UGC. Diploma and certificate courses approved by its Statutory Authorities or Statutory Regulatory Authorities wherever required, may be started in new and innovative areas that are relevant to local, national or international needs, with information to the UGC.
- 5.4 Universities, subject to the Rules, Regulations and Guidelines of the Government of India, may hire, without approval of the Commission, foreign faculty having taught at any institution appearing in top five hundred of any of the world renowned ranking frameworks, such as the Times Higher Education World University Rankings or the QS Rankings, upto twenty percent over and above of their total sanctioned faculty strength. Universities will have the freedom to hire foreign faculty on "tenure/contract" basis as per the terms and conditions approved by their Governing Council/Statutory bodies.
- 5.5 Universities, while following the pay scales as laid down by the Commission, shall build in an incentive structure to attract talented faculty, with the condition that the incentive structure shall have to be paid from their own revenue sources and not from Commission or Government funds. Such incentive structure shall be strictly merit based with clear defined, transparent and objective criteria and should not be universal. It must necessarily be approved by both the Academic Council and the Finance Committee of the Institution, apart from Statutory Bodies like Senate/Syndicate/Executive Council, as the case may be. The Commission shall be informed of the incentive structure within thirty days of approval by the statutory bodies of the Institution.
- 5.6 Universities shall be free to admit foreign students on merit subject to a maximum of twenty percent, over and above of the strength of their approved domestic students. Universities would be free to fix and charge fees from foreign students without any restriction.
- 5.7 Universities may offer courses in the Open and Distance Learning mode, with approval of the Commission, provided it satisfies all the conditions laid down under UGC (Open and Distance Learning) Regulations, 2017 and amendments from time to time.

EXTRACT OF UGC ODL AND ONLINE PROGRAMMES, REGULATIONS 2020

रजिस्ट्री स. डी.एल.- 33004/99

REGD. No. D. L.-33004/99



सी.जी.-डी.एल.-अ.-05092020-221580 CG-DL-E-05092020-221580

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भाग III—खण्ड 4 PART III—Section 4

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No. 354]	NEW DELHI, FRIDAY, SEPTEMBER 4, 2020/BHADRA 13, 1942

विश्वविद्यालय अनुदान आयोग

अधिसूचना

नई दिल्ली, 4 सितम्बर, 2020

सं. मि. 1-1/2020 (डेब-I).—विश्वविद्यालय अनुदान आयोग अधिनियम, 1956 (1956 का 3) की धारा 12 के खंड (ञ) के साथ पठित धारा 26 की उप-धारा (1) और (2) द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए और विश्वविद्यालय अनुदान आयोग (मुक्त और दूरस्थ माध्यमों से शिक्षा प्राप्ति) विनियम, 2017 और विश्वविद्यालय अनुदान आयोग (ऑनलाइन पाठ्यक्रम अथवा कार्यक्रम) विनियम, 2018 का अधिक्रमण करते हुए, उन बातों के सिवाय, जिन्हें इस अधिक्रमण से पूर्व किया गया है या करने का लोप किया गया है, विश्वविद्यालय अनुदान आयोग केंद्र सरकार की पूर्व अनुमति से एतदद्वारा इन विनियमों को बनाता है, नामत:

भाग- |

प्रारंभिक

- **1. लघु शीर्षक, अनुप्रयोग और प्रारंभ –** (1) इन विनियमों को विश्वविद्यालय अनुदान आयोग (मुक्त एवं दूरस्थ ज्ञान अर्जन कार्यक्रम और ऑनलाइन कार्यक्रम) विनियम, 2020 कहा जायेगा।
 - (2) इन विनियमों में मुक्त और दूरस्थ ज्ञान अर्जन पद्धति और ऑनलाइन पद्धति के माध्यम से स्नातक और स्नातकोत्तर स्तरों पर डिग्री और स्नातकोत्तर स्तर पर डिप्लोमा प्रदान करने के न्यूनतम मानकों को निर्धारित किया गया है और यह आयोग द्वारा जारी अन्य विनियमों, अधिसूचनाओं,दिशानिर्देशों अथवा अनुदेशों को निष्प्रभावी नहीं बनाएंगे बल्कि उनके अनुपूरक होंगें।

- (za) "Prospectus" includes any publication, whether in print or e-form, issued for providing fair and transparent information, relating to a Higher Educational Institution and its programmes, to the general public (including to those seeking admission in such Higher Educational Institution) by the management of such Higher Educational Institution or any authority or person authorised by such Higher Educational Institution to do so;
- (zb) "Regional Centre" means a Centre established or maintained by the Higher Educational Institution for the purpose of coordinating and supervising the work of the Learner Support Centres in the region as per its territorial jurisdiction and for performing such other functions as may be conferred on such Centre by the statutory authorities of the Higher Educational Institution;
- (zc) "Self-Learning e-Module" for Online mode means a modular unit of course material in e-learning form which is *inter alia* self-explanatory, self- contained, self-directed at the learner, and amenable to self-evaluation, and enables the learner to acquire the prescribed level of learning in a course of study and includes contents in the form of a combination of the following e-Learning content, namely:-
 - (a) e-Text Materials;
 - (b) Video Lectures;
 - (c) Audio-Visual interactive material;
 - (d) Virtual Classroom sessions;
 - (e) Audio Pod casts;
 - (f) Virtual Simulation; and
 - (g) Self-Assessment Quizzes or Tests;
- (zd) "Self-Learning Material" for Open and Distance Learning mode means and includes contents in the form of course material, whether print or in e- form, which is interalia self-explanatory, self-contained, self-directed at the learner, and amenable to self-evaluation, and enables the learner to acquire the prescribed level of learning in a course of study, but does not include text-books or guide-books;
- (ze) "SWAYAM" (Study Webs of Active Learning for Young Aspiring Minds) means the learning management system as specified in the UGC (Credit Framework for online learning courses through SWAYAM) Regulations, 2016.

PART II

RECOGNITION PROCESS FOR OFFERING PROGRAMMES UNDER OPEN AND DISTANCE LEARNING (ODL) MODE AND ONLINE MODE

- 3. Institutional Level Eligibility Criteria.-
- (A) **Open and Distance Learning Mode:** Any Higher Educational Institution, may apply for offering programmes through the Open and Distance Learning mode, which fulfils the following conditions, namely:-
 - (i) shall be accredited by the National Assessment and Accreditation Council with minimum score of 3.01 on a 4-point scale;

or

having rank in top-100 in University category of National Institutional Ranking Framework, at least once in two preceding cycles (at the time of application):

Provided that the NAAC and NIRF Ranking requirements shall be valid for academic session 2020-2021 (session beginning July, 2020 and January, 2021) only and shall be reviewed subsequently by the Commission for the academic session 2021-2022 (session beginning July 2021) and onwards:

Provided further that in view of unprecedented circumstances due to COVID-19 pandemic, the Higher Educational Institutions recognised by the University Grants Commission to offer programmes in Open and Distance Learning mode till the academic session 2019-2020 to enable them to reach the prescribed quality National Assessment and Accreditation Council benchmark and had submitted an undertaking to the effect that they will attain a National Assessment and Accreditation Council score of 3.26 on a 4-point scale before the end of academic session July 2019 - June 2020, and have also submitted an application to the National Assessment and Accreditation Council till 29 February 2020, shall continue to offer programmes, already recognised for the academic session 2019-2020, in Open and Distance Learning mode for a period of one year, academic session 2020-2021, starting from July 2020. Thereafter these Higher Educational Institutions shall be required to attain the eligibility mentioned at sub-clause (i) above:

Provided also that the NAAC condition mentioned above shall not be applicable to the Open Universities already recognised by the Commission for offering Open and Distance Learning Programmes for the academic year 2019-2020. These Open Universities shall be required to submit an affidavit that they shall obtain NAAC accreditation prior to the completion of the current recognition period, as specified in the Commission order already issued, failing which the Commission shall not accord further recognition to the programmes of such Open Universities under Open and Distance Learning mode:

Provided also that Open Universities not recognised by the Commission under UGC (Open and Distance Learning) Regulations, 2017 shall be required to obtain National Assessment and Accreditation Council (NAAC) accreditation within one year of their becoming eligible for accreditation by the National Assessment and Accreditation Council (NAAC), failing which the Commission shall not accord further recognition to the programmes of such Open Universities under Open and Distance Learning mode:

Provided also that an Institution Deemed to be a University under section 3 of the University Grants Commission Act, 1956 shall offer programmes in Open and Distance Learning mode in accordance with the extant Deemed to be University Regulations and also in compliance to the provisions of these regulations;

(B) Online Mode

(a) Higher Educational Institutions having NAAC score 3.26 and above or having rank in Top-100 in University category of National Institutional Ranking Framework, at least twice in three preceding cycles (at the time of application), shall be permitted to start full-fledged Online programmes without prior approval of the UGC, provided it satisfies all the conditions mentioned in these regulations:

Provided that the Higher Educational Institutions can start maximum of three (03) Under Graduate (UG) programmes and ten (10) Post Graduate (PG) programmes with the approval of its statutory authorities and in strict compliance with the provisions of these regulations:

Provided further that the NAAC and NIRF Ranking requirements shall be valid for academic session 2020-2021 (session beginning July, 2020 and January, 2021) only and shall be reviewed subsequently by the Commission for the academic session 2021-2022 (session beginning July 2021) and onwards:

Provided also that Higher Educational Institutions shall be required to submit application and desired information, and comply to all the provisions of the regulations and shall be required to submit an affidavit to the UGC:

Provided also, that in case, the Higher Educational Institutions want to start more than the defined number of programmes, then they shall seek prior permission from the Commission.

- (b) Any Higher Educational Institution, may apply for offering programmes through the Online mode, which fulfils the following conditions, namely:-
 - (i) shall be in existence for at least three years; and

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- (ii) shall be accredited by the National Assessment and Accreditation Council with minimum score of 3.01 on a 4-point scale;

or

shall be in the top-100 in University category in the National Institutional Ranking Framework for at least once in last two preceding cycles (at the time of application):

Provided that the NAAC and NIRF Ranking requirements shall be valid for academic session 2020-2021 (session beginning July, 2020 and January, 2021) only and shall be reviewed subsequently by the Commission for the academic session 2021-2022 (session beginning July 2021) and onwards:

Provided further that the condition mentioned at item (ii) of sub-clause (b) shall not be applicable to the Open Universities already recognised by the Commission for offering Open and Distance Learning programmes or Online programmes for the academic year 2019-2020. These Open Universities shall be required to submit an affidavit that they shall obtain National Assessment and Accreditation Council (NAAC) accreditation prior to the completion of the current recognition period, as specified in the Commission order already issued, failing which the Commission shall not accord further recognition to the programmes of such Open Universities under Online mode:

Provided also that a Higher Educational Institution having National Assessment and Accreditation Council (NAAC) valid score equal or more than 3.26 or having rank in Top-100 in University category of National Institutional Ranking Framework at least twice in three preceding cycles shall be permitted to enroll International Learners also:

Provided also that an Institution Deemed to be a University under section 3 of the University Grants Commission Act, 1956 shall offer programmes in Online mode in accordance with the extant Deemed to be University Regulations and also in compliance to the provisions of these regulations.

4. Requirements for Submission of Proposals.—

- (A) Common Provisions for Open and Distance Learning Mode and Online Mode: Every Higher Educational Institution eligible as per regulation 3 and intending to offer a programme in Open and Distance Learning mode and/or Online mode from the academic session shall make an application to the Commission in the format mentioned in subregulation (1) of regulation 5 with documentary evidence for grant of recognition on fulfillment of the following conditions, namely:
 - (i) the Higher Educational Institution has complied with the Institutional eligibility criteria as per regulation 3 of these regulations;
 - the Higher Educational Institution has the approval of the statutory bodies under its Act for offering the programme in Open and Distance Learning mode or Online mode, as the case may be;
 - (iii) the list of proposed programmes to be offered in Open and Distance Learning mode or Online mode, as applicable, the expected enrolment of learners, the learning outcome of each programmes and other requirements as notified by the Commission from time to time;
 - (iv) for the programmes falling under the ambit of a statutory or regulatory authority or regulatory council, the Higher Educational Institution has the approval or recommendations of the respective statutory or regulatory authority or regulatory council for offering the programmes in Open and Distance Learning mode or Online mode, as applicable;

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THE GAZETTE OF INDIA : EXTRAORDINARY

27. Reservation policy.— Institution deemed to be University shall implement the policy on reservations in admissions and recruitment, in accordance with the provisions of the Constitution of India and any Act of Parliament for the time being in force and disclose all such information on its website.

28. Online or distance education.— Institutions deemed to be Universities may offer courses online or distance courses or degrees in accordance with the regulations notified by the Commission from time to time.

29. Power to conduct enquiry and consequences for violation of regulations.— (1) Where the Government or the Commission, as the case may be, receives information by way of a complaint or otherwise, that an institution deemed to be University has violated any of the provisions of these regulations, it shall cause to conduct an enquiry into the facts of such information after issuing a notice to the said effect to the institution deemed to be University.

(2) The Commission may also cause an inspection, to be made by experts in matters of academics, administration, and finance regarding academic outcomes or any matter connected with the administration or finances of the institution deemed to be University for the purpose of the enquiry.

(3) Based on the enquiry or through procedures mentioned in these regulations, if the Government or the Commission, as the case may be, is satisfied that the regulations have been violated, the institution deemed to be University shall be subjected to such action as provided in these regulations.

30. Consideration of proposals under previous regulations.—All the applications for the declaration of an institution as an institution deemed to be a University, or for the approval for establishing off-campus centres or off-shore campuses, that have been received by the Government or are pending or under process in the Commission prior to the date of publication of these regulations, as the case may be, shall be processed under the provisions of these regulations:

Provided that the applicant may submit an undertaking in writing that such an application may be processed in terms of the regulations of the Commission governing an institution deemed to be University as were in force on the date of such application, and that, subject to the approval of the application under those regulations, the applicant shall comply with the provisions of these regulations within a period of six years from the date of approval, as the case may be, failing which the status of the applicant as an institution deemed to be University or status of the off-campus or off-shore campus shall be withdrawn.

31. Funds, Accounts, Audit, and Annual Report.— (1) The books of accounts of the institution deemed to be University shall be maintained, managed, and operated in the name of the institution deemed to be University and not in the name of the sponsoring body or any other body; and, shall be kept in such form as may be specified by the Executive Council and conform to the rules or regulations, if any, prescribed by the Commission, in this regard.

(2) Funds shall not be diverted at any time from the accounts of the institution deemed to be University to any other accounts, including to the accounts of the sponsoring body or to any otherbody.

(3) The institution deemed to be University shall get its books of accounts audited annually, separately published and uploaded on the website of the institution; and if such institution is funded by Central or State Government or through its Agencies fully or partially, then the accounts of such institution deemed to be University shall be open for examination by the Comptroller and Auditor General of India and the accounts of institution deemed to be University shall also, where required, be open for inspection by the Commission.

(4) The annual financial statements and accounts shall be audited by a qualified professional, a Member or Fellow of the Institute of Chartered Accountants of India, to be appointed by the institution deemed to be University:

Provided that, the Commission may, on receipt of information regarding financial impropriety or embezzlement or illegal diversion of funds from the accounts of the institution deemed to be University; or of fees being collected against the provisions of these regulations, issue a notice directing the institution deemed to be University to show cause as to why an inspection, including a forensic audit, not be ordered in respect of the complaint, and after providing a reasonable opportunity to the respondent institution, the Commission may on being satisfied that there were sufficient grounds to proceed further, cause an inspection by a team of the Commission or may direct a forensic audit; the report of and the inspection team and the forensic audit report taken together shall form the basis for the Commission to take further action, as it may be deem fit, under these regulations including the recommendation for withdrawal of institution deemed to be University status, to the Government.

(5) Annual Reports, Annual Financial Statements, and Audit Reports shall be uploaded on the institution deemed to be University website and also on the Commission's portal, after due approval by the Executive Council and the institution deemed to be Universities managed or controlled or funded by the Central or State Government shall submit a copy of the annual report, Annual Financial Statements and annual audit report to the respective Government.

32. Miscellaneous.— (1) The institution deemed to be University shall, as soon as may be, after the completion of the academic programme, and in no case later than one hundred and eighty days from the date of such completion, ensure



Prof. Rajnish Jain Secretary Category - I University



विश्वविद्यालय अनुदान आयोग University Grants Commission

(मानव संसाधन विकास मंत्रालय, भारत सरकार) (Ministry of Human Resource Development, Govt. of India)

बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002 Bahadur Shah Zafar Marg, New Delhi-110002

> Ph.: 011-23236288/23239337 Fax : 011-2323 8858 E-mail : secy.ugc@nic.in

No. F. 1-1/2018(CPP-I/DU)

The Vice-Chancellor SYMBIOSIS International University, Gram Lavale, Tal Mulshi, Dt. Pune-412115, Maharashtra



Subject:- Categorization of the Deemed to be University under UGC [Categorization of Universities (only) for Grant of Graded Autonomy] Regulations, 2018.

By Speed Post

Sir,

As you are aware, UGC is mandated to determine, promote and maintain the standards of higher education in the country. UGC is constantly striving to create an enabling environment whereby higher educational institutions in the country can become institution of global excellence. UGC is also aware that global excellence can be achieved by extending autonomy to better performing institutions for promoting and institutionalizing excellence in higher education.

In order to grant autonomy to the better performing institutions, UGC has notified UGC [Categorization of Universities (only) for Grant of Graded Autonomy] Regulations, 2018 on 12th February, 2018 in the Gazette of India.

The proposal received from **SYMBIOSIS International University** under the above UGC Regulations has been examined, processed and considered by the Commission in its 532nd meeting held on 24.05.2018. The Commission has decided to grade the **SYMBIOSIS International University as Category-I Deemed to be University as per the provisions of the above UGC Regulations.** The Deemed to be University shall now be eligible for all the benefits as stipulated under Clause 4 (Dimensions of Autonomy for Category-I Universities) of the above mentioned UGC Regulations. However, with respect to Clause 4.3 of the Regulations (pertaining to opening of constituent units/off-campus centres), the Commission is in a process of finalizing certain modalities related to its implementation. The same will be communicated separately.

The Deemed to be University shall inform the UGC about the benefits being implemented from the new academic session. In light of this, the Deemed to be University should acknowledge in writing that it shall strictly comply with all the regulations as mentioned in the provisions (Clause 4) of the University Grants Commission (Categorization of Universities (only) for Grant of Graded Autonomy) Regulations, 2018.

It is further informed that the Deemed to be University shall intimate the Commission about its changed status as per Clause 6 of the said regulations.

Yours faithfully, (Rajnish Jain) Secretary

NAAC A++ University









विश्वविद्यालय अनुदान आयोग का स्वायत्त संस्थान NATIONAL ASSESSMENT AND ACCREDITATION COUNCIL An Autonomous Institution of the University Grants Commission

Certificate of Accreditation

The Executive Committee of the National Assessment and Accreditation Council is pleased to declare Symbiosis International (Deemed to be University u/s 3 of the USC Act, 1956) Gram-Bavale, Tal. Mulshi, Dist. Pune, Maharashtra as Accredited with CSPA of 3.56 on four point scale

> at A⁺⁺ grade valid up to December 19, 2029

Date : December 20, 2022



S.C. Sham Director



EC(SC)/136/3rd Cycle/MHUNGN10140







राष्ट्रीय मूल्यांकन एवं प्रत्यायन परिषद

विश्वविद्यालय अनुदान आयोग का स्वायत्त संस्थान

NATIONAL ASSESSMENT AND ACCREDITATION COUNCIL

An Autonomous Institution of the University Grants Commission

Quality Profile

Name of the Institution : Symbiosis International

(Deemed to be University u/s 3 of the UGC Act, 1956) Place : Gram-Lavale, Tal. Mulshi, Dist. Pune, Maharashtra

	Criteria	Weightage (W _i)	Criterion-wise Weighted Grade Point (Cr WGP _i)	Criterion-wise Grade Point Averages (Cr WGP _i / W _i)
I.	Curricular Aspec <mark>ts</mark>	150	580	3.87
II.	Teaching-Learning and Evaluation	200	666	3.33
III.	Research, Innovations and Extension	250	854	3.42
IV.	Infrastructure and Learning Resources	100	396	3.96
V.	Student Support and Progression	100	323	3.23
VI.	Governance, Leadership & Management	100	376	3.76
VII.	Institutional Values and Best Practices	100	366	3.66
	Total	$\sum_{i=1}^{7} \overline{\Sigma}w_i = 1000$	$\sum_{i=1}^{7} (Cr WGP_i) = 3561$	

 $\Sigma(CrWGP_i)$ Institutional CGPA $\sum_{i=1}^{N} W_i$

3561 3.56 1000

Grade = A^+

Date : December 20, 2022

S.C. She Director

This certification is valid for a period of Seven years with effect from December 20, 2022 An institutional CGPA on four point scale in the range of 3.51 - 4.00 denotes A" grade, 3.26 - 3.50 denotes A⁺ grade, 3.01 - 3.25 denotes A grade, 2.76 - 3.00 denotes B⁺⁺ grade, 2.51 - 2.75 denotes B⁺ grade, 2.01 - 2.50 denotes B grade, 1.51 - 2.00 denotes C grade Scores rounded off to the nearest integer



EC(SC)/136/3rd Cycle/MHUNGN10140